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09/15/2004

OSHA & MAY L.L.P.  
1221 MCKINNEY STREET  
HOUSTON, TX 77010

12/17/2004 WASFAW2 00000004 09964170

01 FC:1501 1400.00 OP  
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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/964,170	09/26/2001	Tatsuya Ito	04995-030001	9594

TITLE OF INVENTION: PICKUP APPARATUS FOR DISK PLAYER HAVING A FRAME FOR SUPPORTING A HALF-MIRROR

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1330	\$300	\$1630	12/15/2004

EXAMINER	ART UNIT	CLASS-SUBCLASS
PSITOS, ARISTOTELIS M	2653	369-112290

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.
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- (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,
- (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

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2

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3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

FUNAI ELECTRIC CO., LTD.

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

OSAKA, JAPAN

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

4a. The following fee(s) are enclosed:

- ☒ Issue Fee
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5. Change in Entity Status (from status indicated above)

- ☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

The Director of the USPTO is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above. NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature

Date December 15, 2004Typed or printed name Jonathan P. OshaRegistration No. 33,986

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 14 Alexandria, Virginia 22313-1450.

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Dated: December 15, 2004

Signature

*Brenda C. McFadden*  
(Brenda C. McFadden)

Docket No.: 04995/030001  
(PATENT)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of:  
Tatsuya Ito et al.

Conf. #9594

Application No.: 09/964,170

Group Art Unit: 2653

Filed: September 26, 2001

Examiner: A. M. Psitos

For: PICKUP APPARATUS FOR DISK PLAYER  
HAVING A FRAME FOR SUPPORTING A  
HALF-MIRROR

**TRANSMITTAL LETTER**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Enclosed are the following items for filing in connection with the above-referenced Patent Application:

1. Part B Issue Fee Transmittal (1 pg.);
2. Fee Summary Sheet (1 pg.); and
3. Payment by credit card. Form PTO-2038 is attached (1 page). Charge \$1,712.00 to credit card;

Please charge our Credit Card in the amount of \$1,712.00 covering the required fees. Credit Card Payment Form SB-2038, with a signature from an authorized cardholder, is enclosed. The Director is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this

Application No.: 09/964,170

Docket No.: 04995/030001

application by this firm) to our Deposit Account No. 50-0591, under Order No. 04995/030001.

A duplicate copy of this paper is enclosed.

Dated: December 15, 2004

Respectfully submitted,

By 

Jonathan P. Osha

Registration No.: 33,986

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Attorneys for Applicant



**FEE SUMMARY SHEET**  
**Credit Card Payment Form (PTO-2038)**

Date: December 14, 2004  
Time: 2:07 PM  
Docket: 04995/030001

Filing Date: September 26, 2001  
Application No: 09/964,170  
Total Fee: \$ 1,712.00

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Code	Amount	37 CFR	Fee Description	Listed on
1501	1,400.00	1.18(a)	Utility issue fee	Credit Card Payment Form (PTO-2038)
8001	12.00	1.19(a)(1)	Printed copy of patent w/o color	Credit Card Payment Form (PTO-2038)
1504	300.00	1.18(d)	Publication fee for early, voluntary, or normal publication	Credit Card Payment Form (PTO-2038)